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Clearing, Settlement and Custody.  
Focusing on the changes that are taking place in the industry and what this means for operations managers and supervisors, this text analyses how the clearing, settlement and custody functions work and the interaction between the organizations involved. The

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roles of clearing houses, central counterparties, central securities depositories and the custodians are examined and.

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The clearing firm makes sure that the appropriate amount of funds is set aside for trade settlement when someone buys stocks. Clearing can have a variety



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of meanings depending on the instrument ...

## **Clearing Definition - investopedia.com**

Clearing and settlement are both processes carried out by a clearing house in the process of securities trading. It is important that a strong

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clearing and settlement system is set in place to maintain the smooth securities trading operations within financial markets.

## **Difference Between Clearing and Settlement | Compare the ...**

Clearing, settlement and custody is at the heart of everything that happens in

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the financial markets. The evolution of clearing and settlement is one that is still happening and as such, it is impacting on the operations function through both new practices but also, increasingly, in terms of regulation, risk and reputation.

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section 2.3 covers institutions whose  
single business line is the provision of  
custody services (i.e.  
providing securities safekeeping,  
settlement and investor services to their  
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